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DAVID S. STALLARD  
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KEITH R. HAUPT  
THEODORE R. REMAKLUS  
THOMAS W. HUMPHREY  
SCOTT A. STINEBRUNER  
DAVID H. BRINKMAN  
BEVERLY A. LYMAN, PH.D.

OF COUNSEL  
JOHN D. POFFENBERGER  
THOMAS W. FLYNN

2700 CAREW TOWER  
441 VINE STREET  
CINCINNATI, OHIO 45202-2917  
TELEPHONE: 513-241-2324  
FACSIMILE: 513-241-6234

EMAIL: info@whepatent.com

PATENT, TRADEMARK, COPYRIGHT  
AND UNFAIR COMPETITION LAW  
AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968  
TRUMAN A. HERRON 1935-1978  
EDWARD B. EVANS 1936-1971

JOSEPH R. JORDAN  
C. RICHARD EBY  
DAVID E. PRITCHARD

J. DWIGHT POFFENBERGER, JR.  
KATHRYN E. SMITH  
KRISTI L. DAVIDSON  
P. ANDREW BLATT, PH.D.  
DAVID E. JEFFERIES  
WILLIAM R. ALLEN, PH.D.  
JOHN PAUL DAVIS  
DOUGLAS A. SCHOLER  
BRETT A. SCHATZ  
DAVID W. DORTON  
SARAH OTTE GRABER

TECHNICAL ADVISORS  
HENRY M. LABODA, PH.D.

September 10, 2004

**FACSIMILE COVER SHEET**

To: Commissioner for Patents

From: Kurt A. Summe

Fax: 703/746-9195

Re: WHE File: VOCO-06  
Pages: 12 (including cover  
sheet)

**MESSAGE/COMMENTS**

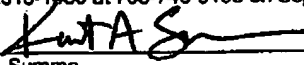
Please file the attached "Request for Corrected Filing Receipt" in the above-referenced case.

Serial No.: 10/617,422  
Filing Date: July 10, 2003  
Inventor: Arthur Eugene McNair et al.  
Group Art Unit: 2122  
Confirmation No.: 3226  
Examiner: Unknown  
Title: METHOD AND SYSTEM FOR INTEGRATING MULTI-MODAL DATA  
CAPTURE DEVICE INPUTS WITH MULTI-MODAL OUTPUT CAPABILITIES  
Att'y Docket No.: VOCO-06

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I hereby certify that this correspondence is being facsimile transmitted to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 at 703-748-9195 on September 10, 2004.

  
Kurt A. Summe

Date 9/10/04

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 10/617,422  
Filed: July 10, 2003  
Applicant: Arthur Eugene McNair et al.  
Group Art Unit: 2122  
Confirmation No.: 3226  
Examiner: Unknown  
Title: **METHOD AND SYSTEM FOR INTEGRATING MULTI-MODAL  
DATA CAPTURE DEVICE INPUTS WITH MULTI-MODAL  
OUTPUT CAPABILITIES**  
Atty Docket No.: VOCO-06

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Cincinnati, Ohio

September 10, 2004

**ANSWER TO RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**

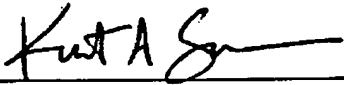
In answer to the Response to the Request for Corrected Filing Receipt, mailed on July 30, 2004 (copy attached), it is noted that the Filing Receipt in connection with the above-identified application lists the filing date as "07/10/03" (copy attached). The early notification postcard from the United States Patent and Trademark Office likewise indicates "07/10/03" on the Serial Number sticker affixed by the United States Patent and Trademark Office (copy attached).

In actuality, this application was filed on July 11, 2003, as per our transmittal, early notification postcard, and express mail receipt from the U.S. Postal Service. Copies of these records are attached for your review.

It is respectfully requested, in view of the above facts, that a new corrected filing receipt be issued to correctly list the filing date of this Application as July 11, 2003.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By   
Kurt A. Summe  
Reg. No. 36,023

2700 Carew Tower  
Cincinnati, OH 45202  
(513) 241-2324  
(513) 421-7269 (facsimile)



## UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office  
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www.uspto.gov

APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/617,422	07/10/2003	Arthur Eugene McNair	VOCO-06-273

26875  
WOOD, HERRON & EVANS, LLP  
2700 CAREW TOWER  
441 VINE STREET  
CINCINNATI, OH 45202

CONFIRMATION NO. 3226



\*OC000000013392784\*

Date Mailed: 07/30/2004

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## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Application Filing Date

In response to your request for a corrected Filing Receipt, the Office cannot comply with your request because:

- ☐ If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a petition to accord a filing date should be submitted along with a copy of the Express Mail label showing the "date in" and be directed to the Office of Petitions.
- ☒ A copy of the Express Mail label was not enclosed with your request. Petitions under 37 CFR 1.10 require a copy of the Express Mail Label to be submitted.
- ☐ The "date in" on the Express Mail label is the date given on the Filing Receipt.
- ☐ The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c).
- ☐ Applications submitted with a certificate of mailing under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the U.S. Patent and Trademark Office. A request to review the filing date accorded to the application must be in the form of a petition.
- ☐ The filing date on your return postcard is the same as the date on your Filing Receipt. A request to review the filing date accorded to the application must be in the form of a petition.

A petition under 37 CFR 1.10, 37 CFR 1.53 or 37 CFR 1.182 is needed to request a change to the filing date. A \$130 petition fee is required for a petition under 1.53 or 1.182. If you choose to file a petition, the petition and the \$130 petition fee, if any, to:

Box DAC

Commissioner for Patents  
Washington, DC 20231

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*H. S. S. S.*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Arthur Eugene McNair, Roger Graham  
Byford, Richard Anthony Bates

Atty. Docket: VOCO/06/273

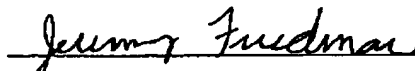
Title: METHOD AND SYSTEM FOR INTEGRATING MULTI-MODAL DATA CAPTURE  
DEVICE INPUTS WITH MULTI-MODAL OUTPUT CAPABILITIES

**CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10**

'Express Mail' mailing label number: EV355035387US

Date of Deposit: July 11, 2003

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
By Jeremy Friedman (person mailing paper)

**UTILITY PATENT APPLICATION TRANSMITTAL**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☒ **Original (non-provisional) application.**
- ☐ Divisional of prior application Serial No. \_\_, filed on \_\_.
- ☐ Continuation of prior application Serial No. \_\_, filed on \_\_.
- ☐ Continuation-in-part of prior application Serial No. \_\_, filed on \_\_.

**PRELIMINARY AMENDMENT/CALCULATION OF FEES**

- ☐ Please cancel claims \_\_ without prejudice, and prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☒ **Small Entity Status is Requested**

VOCO/06/273

Express Mail No. EV355035387US

Page 1 of 3

☒ **The Fees are Calculated as Follows:**

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	49	20	29	\$18	\$522.00
Independent Claims	7	3	4	\$84	\$336.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$750.00
TOTAL OF ABOVE CALCULATIONS					\$1,608.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					\$804.00
<b>TOTAL</b>					<b>\$804.00</b>

**ENCLOSURES**

- ☒ **Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.**
- ☒ **Return Postcard.**

**APPLICATION PAPERS**

- ☒ **Utility Patent Application, with: cover sheet, 46 page(s) specification (including 49 total claim(s), of which 7 is(are) independent, and 1 page(s) abstract).**
- ☒ **Drawings: 3 sheet(s) of formal drawings ( 6 total figure(s)).**
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☒ **An Unsigned Declaration, Power of Attorney and Petition Form.**
- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

**ADDITIONAL PAPERS**

- ☐ Assignment to \_\_, Recordation Cover Sheet (Form PTO-1595)
- ☒ **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)**
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement, \_\_ sheet(s) Form PTO-1449, \_\_ U.S. Patent Reference(s), \_\_ Foreign Patent Reference(s) and \_\_ Other Reference(s)
- ☐ Other: \_\_

**CHECKS**

- ☒ **A Check of \$804.00 for the filing fee.**
- ☐ A Check of \_\_ for the assignment recording fee.

VOCO/06/273

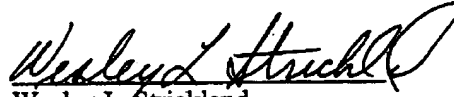
Express Mail No. EV355035387US

Page 2 of 2

**DEPOSIT ACCOUNT AUTHORIZATION**

- ☐ Please charge Deposit Account No. 23-3000 in the amount of     .
- ☒ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.
- 

Respectfully Submitted,

  
Wesley L. Strickland  
Reg. No. 44,363

WOOD, HERRON & EVANS, L.L.P.  
2700 Carew Tower  
441 Vine St.  
Cincinnati, Ohio 45202  
(513) 241-2324  
WLS/lmc

VOCO/06/273

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/617,593	07/10/2003	2644	485	VOCO-09-273	4	25	3

CONFIRMATION NO. 3686

26875  
 WOOD, HERRON & EVANS, LLP  
 2700 CAREW TOWER  
 441 VINE STREET  
 CINCINNATI, OH 45202

UPDATED FILING RECEIPT



\*OC000000011965997\*

Date Mailed: 02/25/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Arthur Eugene McNair, Pittsburgh, PA;  
 Lawrence R. Sweeney, Bethel Park, PA;  
 Timothy Joseph Eusterman, The Woodlands, TX;

## Assignment For Published Patent Application

Voccollect, Inc.;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 11/04/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Express Mail No.: EV355035387US

Atty. Docket: VOCO/06/273

Date: July 11, 2003

Applicant: Arthur Eugene McNair, Roger Graham Byford and Richard Anthony Bates

Title: METHOD AND SYSTEM FOR INTEGRATING MULTI-MODAL DATA CAPTURE DEVICE  
INPUTS WITH MULTI-MODAL OUTPUT CAPABILITIES

The stamp of the Patent Office hereon, may be taken as acknowledging the receipt, on the date stamped, of the following:

- ☒ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- ☒ Return Postcard.
- ☒ Utility Patent Application, with: cover sheet, 46 page(s) specification (including 49 total claim(s), of which 7 is(are) independent, and 1 page(s) abstract).
- ☒ Drawings: 3 sheet(s) of formal drawings (6 total figure(s)).
- ☒ An Unsigned Declaration, Power of Attorney and Petition Form.
- ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)
- ☒ A Check of \$804.00 for the filing fee.

21910 U.S. PTO

10/617422

07/10/03

Wood, Herron &amp; Evans, L.L.C.



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